

## COLLECTION OF SECONDARY ELECTRONS THROUGH THE OBJECTIVE LENS OF A SCANNING ELECTRON MICROSCOPE

[1000] This application claims priority from U.S. Prov. App. 60/199,280, filed April 24, 2000.

### **Technical Field of the Invention:**

[1001] The present invention relates to determining the properties of microscopic structures and, in particular, to a scanning electron microscope that collects through its objective lens secondary electrons for analysis, such as Auger electron spectroscopy.

### **Background of the Invention:**

[1002] Electronic devices are constantly being made smaller to decrease their production costs and increase their operating speeds. To develop suitable new manufacturing processes and to correct defects in existing processes, engineers and scientists need analytical instruments that can create images of extremely small features and determine the chemical make-up of those features. Scanning electrons microscopes (SEMs) are widely used for imaging microscopic features, and Auger Electron Spectroscopy (AES) is often used to determine the chemical make-up of feature surfaces. AES can detect the presence of the lighter elements in quantities as small as a few atomic layers or less. In Scanning Auger Microscopy (SAM), analyses can be made in three modes: spectral analysis, single element maps, and depth profiles using simultaneous ion milling and Auger analysis.

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**[1005]** Unfortunately, only a small number of the impacting electrons give rise to Auger electrons. Typically, somewhere between one thousand and one hundred thousand primary electrons are required to produce one Auger electron. To detect a material present in the sample at very low concentrations, it is necessary therefore to efficiently collect and analyze the Auger electrons. Auger electrons are emitted nearly isotropically, that is, approximately equally in all directions above the target, so it is necessary to collect Auger electrons from as much of the hemisphere above the sample as possible.

**[1006]** FIG. 1 shows schematically a conventional SEM 1 with an AES system 2. An electron column 3 directs a primary electron beam 4 toward a sample 5. Secondary electrons

Q. Now, I am going to ask you to look at the exhibit that I have marked with the letter "A" and tell me what it is.

**[1007]** Many attempts have been made to increase the sensitivity of AES systems by increasing the percentage of collected Auger electrons. For example, U.S. Pat. No. 4,810,880 issued to one of the present applicants discloses a Direct Imaging Auger System that uses a wide electron beam from a side-mounted source to illuminate a large area on a sample. The electrons emitted from the sample are then collected using a high resolution “snorkel” electron lens pole piece positioned immediately below the specimen. A secondary electron optical system forms an image of the sample using the secondary electrons, and the electrons from different parts of the sample can be separated and analyzed to determine the types of materials present at different locations on the sample. This type of instrument, in which the primary beam impacts and forms an image of a large area of the sample, is referred to as an “imaging” instrument, as opposed to a “scanning” instrument, which illuminates only a small point of the sample at one time, collects electrons from that one point, and then combines the information for all scanned points to create an image. In an imaging instrument, the resolution depends

**[1008]** In another approach, a Low Energy Electron Microscope (LEEM) System is described in “Spectroscopy in a Low Energy Electron Microscope” by E. Bauer, C. Koziol, G. Lilienkamp, and T. Schmidt, *Journal of Electron Spectroscopy and Related Phenomena*, Vol. 84, pp. 201-209 (1997). The Bauer et al. instrument provides Auger spectra and images of surfaces. This is also an imaging type instrument as opposed to a scanning instrument and is very complex. The demonstrated Auger image resolution for silver is about 100 nm, which is relatively low for use in semiconductor industry applications.

**[1009]** A Transmission Electron Microscope (TEM) is an imaging instrument that uses a high energy electron beam and forms an image of the sample using electrons that are transmitted through the sample and collected on the opposite side. A Scanning Transmission Electron Microscope (STEM) also uses electrons transmitted through the sample, but scans a high energy beam across the sample, rather than illuminating the entire sample area simultaneously. Such instruments have high resolution but, because electrons must go completely through the sample, can be used only with very thin samples. It is known to collect secondary electrons back through the lens of the primary electron column of a TEM. Such a system is described by Kruit in “Auger Electron Spectroscopy in the STEM.”

Quantitative Microbeam Analysis, Proc. of the 40<sup>th</sup> Scottish Universities Summer School in Physics, August, 1993 ISBN 0-7503-025 6-9, p. 121-143. Such systems employ an objective lens that produces a strong magnetic field which "parallelizes" the secondary electrons, that is, the magnetic field changes the trajectories of the secondary electrons from a widely dispersive pattern to an almost parallel pattern, as they are transmitted up through the lens pole piece. Beyond the lens, a magnetic deflector or a combination magnetic and electrostatic deflector, such as a Wien filter, deflects the secondary electrons to the side of the primary beam towards an Auger electron energy analyzer.

[1010] The secondary Auger electrons can be readily separated from the primary beam electrons because of the large difference in the energy between the Auger electrons and the primary beam electrons. Electrons in the primary beam of a TEM have an energy of about 200 keV and the Auger electrons have energies about 50 eV to 3000 eV energy. With this large difference between primary beam electron energy and Auger electron energies, a magnetic field can separate the Auger electrons with minimal aberration of the primary electron beam.

[1011] The desirability of implementing a similar through-the-lens Auger electron system in an SEM has been recognized, and attempts to create such a system are described, for example, by P. Kruit in "Magnetic Through-the-Lens Detection in Electron Microscopy and Spectroscopy, Part 1," in Advances in Optical and Electron Microscopy, Vol. 12 ed., Mulvey and Sheppard, Academic Press, pp. 93-137 (1991). Such attempts have met with limited success.

**[1013]** Consequently, there is a need for a method and apparatus that combines SEM imaging and Auger electron spectroscopy and that provides maximum performance of both the SEM and AES functions.

### **Summary of the Invention:**

**[1014]** Thus, it is an object of the invention to provide a system for through-the-lens collection of secondary electrons in a high resolution scanning electron microscope.

[1017] The foregoing has outlined rather broadly the features and technical advantages of the present invention in order that the detailed description of the invention that follows may be better understood. Additional features and advantages of the invention will be described hereinafter which form the subject of the claims of the invention. It should be appreciated by those skilled in the art that the conception and specific embodiment disclosed may be readily utilized as a basis for modifying or designing other structures for carrying out the same purposes of the present invention. It should also be realized by those skilled in the art that such equivalent constructions do not depart from the spirit and scope of the invention as set forth in the appended claims.

### **Brief Description of the Drawings:**

**[1018]** For a more complete understanding of the present invention and the advantages thereof, reference is now made to the following descriptions taken in conjunction with the accompanying drawings, in which:

**[1019]** FIG. 1 is a schematic diagram of a prior art scanning electron microscope having a side mounted Auger electron spectrometer.

**[1020]** FIG. 2 is a schematic diagram of a scanning electron microscope of the present invention using through-the-lens collection of secondary electrons.

**[1021]** FIG. 3 is a partial cross-sectional view showing a preferred embodiment of a scanning electron microscope apparatus having through-the-lens Auger detector in accordance with the present invention;

**[1022]** FIG. 4 shows calculated secondary electron trajectories through a snorkel objective lens of the apparatus of FIG. 3;

[1023] FIG. 5A shows a cross-sectional top view of an exemplary drift tube of FIG. 3;

**[1024]** FIG. 5B shows a partial cross-sectional elevation view of an exemplary drift tube and transfer spherical capacitor of FIG. 3;

**[1025]** FIG. 6 is a flow chart showing a method of performing SEM imaging and Auger analysis operations on a specimen in one embodiment of the present invention;

**[1026]** FIG. 7 illustrates imaging characteristics of a symmetric spherical analyzer employed as a transfer spherical capacitor for electrostatic deflection of secondary electrons in one embodiment of the present invention.

**[1036]** FIG. 13 depicts an alternate lower pole piece for an SEM using a dual pole magnetic lens.

### **Detailed Description of Preferred Embodiments**

[1037] General features of preferred embodiments of scanning electron microscope systems having through-the-lens secondary electron detector systems according to the present invention are illustrated in the following description and figures.

[1038] The inventive system preferably uses a high resolution objective lens, such as an extended field (snorkel) lens, an immersion lens, or a dual pole magnetic lens. Secondary electrons are collected through the objective lens and then deflected away from the primary beam axis to a detector, preferably an Auger electron spectrometer. In a preferred embodiment, an electrostatic lens inside of the primary beam objective lens accelerates the Auger electrons away from the sample and reduces the angle of secondary electrons exiting the objective lens. A deflector, such as a spherical capacitor, deflects the Auger electrons out of the primary beam path. The primary beam is shielded as it passes through the deflector to prevent aberration of the primary beam. The shield is preferably conductive on the inside to shield the primary beam and supports on the outside a potential gradient that reduces aberration of the Auger electrons in the deflector.

[1039] In a preferred embodiment, the objective lens and electrostatic deflectors parallelize the Auger electrons emitted isotropically from the sample to form a virtual Auger source near the face of the primary beam objective lens. The Auger electron optical system forms an image of a virtual Auger source off the primary beam path. The image is directed through a transfer lens into a spherical capacitor analyzer to determine with great sensitivity the materials present at a precise location on the sample surface. Imaging the Auger electrons

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off of the primary beam path obviates the need to confine the Auger electrons all the way through the vacuum chamber to a connected exterior analyzer. The electron optical system of the present invention also provides excellent transmission of the electrons, so that the signal is still at least as great at the analyzer as that of prior art systems using the same primary beam current.

#### Physical Description of a Preferred Embodiment

[1040] FIG. 2 shows schematically a preferred embodiment of a scanning electron microscope system 10 of the present invention having a through-the-lens secondary electron collection system 12. Electron microscope system 10 includes a primary beam optical system 14 for forming a primary beam 16 and focusing it onto a sample 20 to cause the emission of secondary electrons, including Auger electrons, and a secondary electron optical system 22 for collecting the secondary electrons and transmitting them to a detector or analyzer 38. Primary beam optical system 14 includes an electron beam source 26 and a primary beam electron column 28 including a primary beam deflection system 30 for causing primary beam 16 to scan the surface of sample 20 and an objective lens 32 for final focusing of primary beam 16.

[1041] Secondary electron optical system 22 includes a secondary electron deflector 34, a transfer lens 36, and a secondary electron detector or analyzer 38. Secondary electron optical system 22 also includes objective lens 32 and primary beam deflector 30 because these components affect the secondary electrons as well as the primary beam. A shield 40 protects primary beam 16 from being deflected and from electric field aberrations as it traverses secondary electron deflector 34.

[1042] FIG. 3 shows in more detail a preferred embodiment of the invention. Electron beam source 26 comprises an electron gun 42 that includes an electron emission source (not shown), such as a Schottky field emission source, and electron optical components (not shown) that form the electrons into a beam and accelerate them to a desired energy. Such electron guns 42 are commercially available, for example, from FEI Company, Hillsboro, Oregon, the assignee of the present invention.

[1043] An anode 50 positioned after electron gun 42 further accelerates the primary electrons. Anode 50 is preferably maintained at an electrical potential of approximately +2 kV. Primary beam optical system 14 also includes an automatic variable aperture (AVA) 56 and steering and blanking electrodes 58. Primary beam optical system 14 preferably includes no beam crossovers, thereby avoiding the introduction of significant beam interaction aberration. Scanning electron microscope system 10 is maintained in a vacuum chamber 60 to allow electrons to travel substantially unimpeded to and from the sample. An isolation valve 62 is used to vacuum isolate electron gun 42 from the rest of vacuum chamber 60 to prevent contamination of electron gun 42 when vacuum chamber 60 is exposed to the atmosphere.

[1044] The quantity of Auger electrons generated when primary beam 16 impacts sample 20 is strongly dependent on the energy of electrons in primary beam 16. For an acceptable Auger yield, the primary beam preferably has about three times the energy of the most energetic Auger electrons to be detected. Reduced beam energy is often used, however, to reduce damage to delicate samples, such as integrated circuits. A total primary beam accelerating voltage of typically between 2 kV and 20 kV is used, though integrated circuit



**[1047]** In an alternate embodiment suitable for an instrument to be used primarily at high magnifications, deflection coils or plates can be placed high in the primary electron beam column, for example, just below the electron beam source 26, in the region of the steering and blanking electrodes 58. Positioning the deflection lenses means outside of the relatively small objective lens entrance area simplifies the construction of the deflection lenses.

[1048] Objective lens assembly 32 preferably comprises a snorkel lens 80, which is attached to and supported by the wall of the vacuum chamber 60. Snorkel lens 80 includes a magnetic field generating coil 82, a cone-shaped hollow magnetically permeable member 84 cupped coaxially within the coil 82. The objective lens excitation is preferably operated to produce a magnetic field of about 0.3 Tesla to focus a 5 kV primary beam. The cone-shaped hollow magnetically permeable member 84 of the snorkel objective lens 80 includes a tip 86 proximate to the sample 20. Tip 86 is shaped to extend the magnetic field to immerse sample 20 in the magnetic field, thereby providing a very short working distance and correspondingly high resolution, even at relatively high current densities. The construction and use of snorkel lenses for electron microscopes is known, but before the present invention, such lenses were not successfully combined with an Auger electron spectrometer.

[1049] As described above, Auger electrons are emitted approximately isotropically, that is, approximately equally in all directions in a hemisphere above the sample. The trajectories of the secondary electrons are modified by the magnetic field of the objective lens 32 and the voltage on primary beam deflector system 30 as the secondary electrons move away from sample 20 so that a much greater number of electrons are collected through objective lens 32 than would be expected from merely geometric considerations without the electric and magnetic fields. As the Auger electrons pass through objective lens 32, the electrons are diverging, although at a much smaller angle than when originally emitted. Rays 94 show paths of secondary electron through the secondary electron collection system 22. Projecting rays representing the diverging electrons backward, there is a plane at which the diameter of the secondary electron beam represented by the rays is a minimum. In the embodiment shown

in FIG. 3, this plane passes near the tip 86 of snorkel lens 80. The cross section of the beam at this point, known as the disk of least confusion, can be treated as a virtual source 88 of Auger electrons. The secondary electron optical system 22 forms an image 90 of virtual source 88 off the primary beam path at an input 150 of a secondary electron detector or analyzer, such as a spherical capacitor analyzer 140.

[1050] FIG. 4 shows the calculated trajectories of 1 keV secondary electrons as they travel through snorkel lens 80 (FIG. 3) and shows the rays extrapolated back toward the sample.

The secondary electrons are emitted isotropically from the sample, and FIG. 4 shows trajectories on a representative half plane through the optical axis, with the bottom line of FIG. 4 representing the optical axis and the secondary electrons moving from left to right. For the calculating the trajectories shown in FIG. 4, the snorkel lens 80 excitation was 0.29 Tesla and the primary beam voltage was 5 kV. The sample is located at  $Z = -5$  mm and the snorkel lens tip 86 is at  $Z = 0$  mm. Extrapolating back to the sample rays of 1,000 V Auger electrons that form angles of 0.1, 0.2, and 0.3 radian with the  $z$  axis, FIG. 4 shows that a disk of least confusion having a diameter 92 of about 1.3 mm exists at  $Z = 0$ . This disk of least confusion is treated as a virtual Auger source 88. Rays having angles greater than 0.3 radian may not be transmitted through the secondary electron optical system 22 in large numbers and are ignored.

[1051] Since the primary beam deflection system 30 is biased about 2 kV, it accelerates the secondary electrons emitted from the sample, thereby reducing the angle of the secondary electrons passing through objective lens 32 and allowing a greater percentage of the isotropically emitted secondary electrons to be collected. Moreover, the voltages on

deflection electrodes 72 and 76, which bend the primary beam toward the impact point conversely straighten the secondary electron trajectories from the impact point to align them with the primary beam axis above the objective lens. Primary and secondary electrons can be shielded from magnetic fields away from tip 86 by a shield 96 (FIG. 3) constructed of a metal having a high magnetic permeability, that is, a mumetal.

[1052] After being accelerated by the primary beam deflection system 30, secondary electrons are deflected off the primary beam path by secondary electron deflector 34 (FIG. 2).

Deflecting the secondary electrons from the primary beam axis obviates the need for the emitted secondary electrons to stay confined all the way through the primary beam optical system 14. A deflector having a relatively large input is required to collect and focus electrons from the relatively large virtual Auger source 88 without excessive loss of electrons.

Secondary electron deflector 34 comprises for example, an electrostatic deflector such as an electrostatic capacitor, and preferably a symmetric, 180 degree spherical capacitor, shown in FIG. 3 as a transfer spherical capacitor 98. Transfer spherical capacitor 98 has a spherical configuration with an average radius of 75 mm and has an inner sphere 100 and an outer sphere 102. By accepting input over a large solid angle, transfer spherical capacitor 98 reduces transmission losses. Other deflection means, such as cylindrical or toroidal plates, could be used to deflect secondary electrons.

[1053] The voltage across transfer spherical capacitor 98 determines which Auger electrons are passed through to the detector or analyzer. To pass the full range of Auger electrons of interest (about 50 V to 3000 V), transfer spherical capacitor 98 is typically scanned across a range of voltages. For example, with 2000 V acceleration potential, inner

hemisphere 100 may scan a range of voltage between 2073 V and 6408 V, and an outer hemisphere 102 may scan a range of voltage between 1987 V and 1217 V, with the voltage difference between the hemispheres being varied between 73 V and 4408 V.

[1054] Fields used by secondary electron deflector 34 (FIG. 2) to deflect Auger electrons would cause unacceptable aberration of the primary beam. Shield 40 protects primary beam 16 from fields associated with secondary electron deflector 34. A preferred shield 40 comprises a drift tube 110 (FIG. 3) that extends from near anode 50 to objective lens 32 and protects the primary beam 16 from the fields of deflector system 34 as the primary beam 16 travels towards the sample 20. FIGS. 5A and 5B illustrate a cross-sectional top view and a cross-sectional partial elevation view, respectively, of the drift tube 110. Drift tube 110 is internally conductive to shield the primary electron beam 16 from external electric fields and can be held at an electrical potential corresponding to the potential that would exist at that location absent primary beam deflection system 30. For example, the drift tube interior may be held at the +2 kV potential of anode 50 and primary beam deflection system 30.

Externally, drift tube 110 has a graded potential to match the electric field of the transfer spherical capacitor 98, so that the secondary electron orbits in the transfer spherical capacitor 98 are not disturbed by the presence of the drift tube 110 therein. The graded potential can be achieved, for example, by applying a voltage across a resistive exterior coating. FIG. 5A depicts a cross-sectional view from the top of the drift tube 110 having a conductive interior 126 and semi-conductive or high-impedance resistive exterior 130. The conductive interior 126 comprises a suitable conductive metal and is separated from the resistive exterior 130 by an insulator layer 134.

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[1055] Drift tube 110 could be formed using known technology from the fabrication of flexible printed circuit boards, with a conductive layer on one side of the substrate material and a resistive film on the other. The flexible printed circuit board includes a thin conductive film to bleed away the electron charge, which strikes the drift tube 110. Alternatively, a ceramic or other dielectric tube could be used as insulator layer 134. The interior layer could be formed by attaching or evaporating a metal layer onto the interior surface. Similarly, the exterior surface could comprise metal stripes with a semiconductive layer outside, formed, for example, by evaporating a resistive film through a template onto an insulating layer.

[1056] In an alternate embodiment, instead of drift tube 110, parallel plates are disposed on both sides of the primary electron beam 16 for protection from the electrostatic field of the transfer spherical capacitor 98. These plates are parallel to a plane defined by the primary electron beam 16 and the input axis of the secondary electron detector or analyzer 38. The plates are electrically conductive on the inner side facing the primary electron beam 16 and have graded fields on their outer surfaces. The graded field can be formed as described above with respect to the drift tube 110. Since these plates are substantially parallel to the electron orbits as they are bent towards the transfer lens 36, loss of Auger electron signal due to the presence of relatively thin parallel plates can be minimal.

[1057] Transfer spherical capacitor 98 is symmetric, that is, it produces an image that is identical to the incoming object, other than unavoidable aberration, but in a different location. The angle with respect to the spherical capacitor 98 optical axis of electron rays leaving transfer spherical capacitor 98 is the same as the angle of the rays entering the capacitor 98, approximately 0.1 radian for 1,000 eV Auger electrons. This exit angle is typically

mismatched to the admittance angle of the secondary electron detector or analyzer 38 and transfer lens 36 is used to modify the image to match the admittance angle. Transfer lens 36 typically has a magnification,  $M$ , of about four. This magnification increases the image widths, but decreases the output angles of the transfer lens 36. The voltage across the transfer lens 36, like the voltages across the transfer spherical capacitor, is swept to correspond to the Auger energy being analyzed.

[1058] Any type of electron energy analyzer can be used. A preferred secondary electron detector and analyzer comprises spherical capacitor analyzer 140, similar in design to transfer spherical capacitor described above, but having an average radius of 100 mm and having full hemispherical design.

[1059] In scanning electron microscopy imaging mode, as opposed to Auger electron spectroscopy mode, forming an image depends on collecting secondary electrons having energies, on the order of a few eV. In the embodiment described above, the low energy secondary electrons will be highly collimated as they pass through the snorkel lens 80, and a significant number of low energy electrons will pass inside the drift tube 110 instead of being deflected toward a detector and away from the path of the primary beam by transfer spherical capacitor 98. One method of preventing the low energy secondary electrons from passing through drift tube 110 is to turn off or greatly reduce the acceleration voltage on primary beam deflection system 30 during SEM imaging. The low energy secondary electrons are then not so highly collimated and most will bypass drift tube 110 and be deflected from the primary electron beam path for detection.

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Method of Operation

[1060] FIG. 6 is a flow chart showing the steps in a process for performing SEM imaging and Auger analysis operations on a sample 20 in one embodiment of the present invention.

[1061] Step 160 shows that the sample 20 is placed on a movable stage in vacuum chamber 60, which is then evacuated. Step 164 shows that the operator scans primary electron beam 16 of sufficient energy over the sample 20 to cause the emission of secondary electrons for imaging. To reduce sample damage, the primary beam energy in step 164 can be less than that required for Auger analysis. Step 166 shows that a substantial portion of the low energy secondary electrons emitted from the sample 20 are collected through objective lens 32. Step 170 shows that the collected low energy secondary electrons are directed to a secondary electron detector. For step 170, the acceleration voltage on the primary beam deflection system 30 may be turned off or substantially reduced.

[1062] Step 176 shows that SEM imaging of the sample 20 is performed using the detected secondary electrons. For example, features of the sample 20 identifiable through the SEM imaging can be used to navigate around the sample 20 and locate a target feature for Auger analysis.

[1063] Step 180 shows that an acceleration voltage is applied on the primary beam deflection system 30 to aid Auger electron collection. Step 182 shows that a substantial portion of the emitted Auger electrons from the sample 20 are collected through objective lens 32. Step 184 shows the Auger electrons within a desired energy range are selectively deflected to the electron detector or analyzer 38 by adjusting an applied voltage of secondary electron deflector 34. The transfer lens 36 adapts the mapped Auger image before it is input

to electron detector or analyzer 38 for Auger analysis. In step 186, the operator performs an Auger analysis and determines from the Auger spectra of the received Auger electrons the type of material from which the electrons were emitted.

Specification and Performance Estimates for a Preferred Embodiment

[1064] Below are estimates of the transmission efficiency of 100 V and 1000 V Auger electrons in the above-described secondary electron collection system. The equations used to determine characteristics of the transfer spherical capacitor and the spherical capacitor analyzer are described in E.M. Purcell entitled "The Focusing of Charged particles by a Spherical Condenser" in Phys. Rev. 54, pp. 818-826 (1938).

Input Lens

[1065] The transmission T of Auger electrons through the snorkel lens 80 and accelerating primary beam deflection system 30 is given by:

[1066] 
$$T = 100 \text{ AR } A_i^2 / Z \quad (1)$$

[1067] where  $A_i$  is the half angle of Auger electrons from the sample without electrostatic acceleration and without parallization by the magnetic lens, AR is the Acceleration Ratio, defined as the ratio of the total electron energy after acceleration to the Auger electron energy upon emission, and T is the percentage of the  $2\pi$  solid angle of Auger electrons emitted by the sample 20 that pass thorough the snorkel lens 80 and primary beam deflection system 30 with half-angle less than  $A_i$ . A uniform angular distribution of emitted Auger electrons from the sample 20 is assumed.

[1068] For example, for 1,000 V Auger electrons, assuming a 5 kV primary beam, sufficient magnetic lens excitation to focus the primary electron beam, and a double crossover

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of the Auger electrons after leaving the sample, the input angle  $A_i$  without acceleration is estimated to be 0.3 radians. A 2,000 V acceleration by the electrostatic lens gives an acceleration ratio of 3. For a 0.1 radian cone of 1,000 eV Auger electrons, Equation 1 gives a transmission T of approximately 13.8 percent. Reducing the 13.8 percent by 20 percent to account for the estimated loss of electrons in the drift tube 110 provides a transmission of 10.8 percent. A similar calculation can be performed for 100 eV Auger electrons which yields a transmission efficiency of 75.6 percent. Although applicants have conservatively estimated  $A_i$  to be 0.3 radians for 100 eV Auger electrons also,  $A_i$  is likely to be much larger for 100 V electrons, resulting in greater overall transmission efficiency than shown. Table 1 below summarizes the factors that related to the transmission of 1000 eV Auger electrons and 100 eV Auger electrons.  $E_A$  is the Auger electron energy,  $D_o$  is the Auger object size 92 as shown in FIG. 4 near the pole face of the snorkel lens 80, and  $A_o$  is the half angle of the Auger electrons after snorkel lens and electrostatic acceleration lenses.

SNOR & ACCEL. LENSES					
$E_A$ (ev)	$D_o$ (mm)	$A_i$ (rad)	$A_o$ (rad)	Accel Ratio	T (%)
1000	1.3	0.3	0.1	3	10.8
100	1	0.3	0.1	21	75.6

TABLE 1

#### Transfer Spherical Capacitor

[1069] FIG. 7 illustrates imaging characteristics of a transfer spherical capacitor 98 having an average radius, R, of about 75 mm. The spherical capacitor is symmetric and emits electrons at its output at the same angle (about 0.1 radians) that it accepts electrons at its entrance. A ray drawn along a normal from the Auger object 88 to an Auger image 90 passes

through the center of the transfer spherical capacitor 98. Transfer spherical capacitor 98 is swept in voltage in accordance with the Auger energy being analyzed.

[1070] FIGS. 8A-8C show the aberrations inherent in a spherical capacitor on an image of a point source. FIG. 8D shows an Auger source, which has a radius  $D_0$  and is not, therefore, a point source. FIG. 8E shows the effect of the aberration of the spherical capacitor on the image 90 of the extended Auger source 88.

[1071] FIG. 8A illustrates the geometric aberration in transfer spherical capacitor 98. If the applied voltages on transfer spherical capacitor 98 are tuned to focus a point source from the input to a point on the output axis for a small but finite input half-angle  $A$  (FIGS 3 and 7), the geometric aberration causes the output Auger image 90 to take the pie shape shown in FIG. 8A. The pie shape arises from those Auger electron trajectories passing through planes through lines P and Q (FIG. 7), but not in the plane of FIG. 8A.

[1072] FIG. 9 depicts Auger electron trajectories through transfer spherical capacitor 98. As shown in FIG. 9, the minimum beam width  $W_A$  for  $A_i = 0.1$  radian and  $R = 75$  mm is about 1.5 mm and the highest concentration of rays in the beam is at  $W_y = 0$ . Thus, the largest current density corresponds to the right-hand side (apex) of the pie shaped Auger image of FIG. 8A.

[1073] The geometric aberration,  $W_A$ , in the X directions for symmetric spherical capacitor 98 is given by:

$$[1074] \quad W_A = 2 \ R A^2 \quad (2)$$

[1075] And the beam spread in the y direction as shown in FIG 8A is

$$[1076] \quad W_Y = 2 \ B \ W_A. \quad (3)$$

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case of Figure 8B. Hence the bow tie in Figure 8C is enlarged by  $W_E / 2$  on both ends in the X-direction. The bow tie also is enlarged in the Y-direction by  $B W_E$ .

[1085] FIG. 8D shows the virtual Auger source 88 at the snorkel lens 80 having a diameter of  $D_0$ , and FIG. 8E shows the beam width as a result of the finite extent of the Auger source and all aberrations up to and including transfer spherical capacitor 98. The dimensions of the image are:

$$[1086] \quad W_x = D_0 + W_A + W_E \quad (6)$$

$$[1087] \quad W_y = D_0 + B (W_A + W_E) \quad (7)$$

[1088] Transfer spherical capacitor 98, being symmetric, transmits the Auger electrons at the same angle (about 0.1 radian) at its exit 120 as it receives them at its entrance 122.

Transfer spherical capacitor 98 and transfer lens 36 are swept through a range of voltages determined by the Auger energies being analyzed. A negative deflecting voltage relative to inner sphere 100 is applied to outer sphere 102 to generate an electric field to steer the Auger electrons substantially away from the primary electron beam 16. The Auger electrons are focused and decelerated by transfer lens 36 to the entrance 150 of spherical capacitor analyzer 140. The Auger electron trajectories between hemispheres 100 and 102 depend on the electron energies and the difference in applied voltages between the hemispheres. Both spheres are floated at 2 kV, the same voltage as anode 50. Notice that the image is narrower in the Y direction, that is,  $W_y < W_x$ , and it is desirable, therefore, to place  $W_y$  in the dispersion direction of spherical capacitor analyzer 140 to optimize its performance. Table 2 below summarizes the properties of the Auger image exiting the spherical capacitor for Auger electrons having energy of 1,000 eV and 100 eV and an acceleration potential of 2000 V.

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TRANSFER SPHERICAL CAPACITOR					
E <sub>A</sub> (ev)	R (mm)	W <sub>A</sub> (mm)	W <sub>E</sub> (mm)	W <sub>X</sub> (mm)	W <sub>Y</sub> (mm)
1000	75	1.5	1.25	4.05	1.99
100	75	1.5	0.18	2.68	1.42

TABLE 2

Transfer Lens

[1089] Because the output angle of the transfer spherical capacitor does not typically match the admittance angle of spherical capacitor analyzer 140, a suitable admittance matching transfer lens 36 is required between the spherical capacitors. In the described embodiment, transfer lens 36 has a magnification of four, although the magnification will vary depending on the properties of other components in secondary electron optical system 22. Transfer lens 36 typically increases the output image widths W<sub>X</sub> and W<sub>Y</sub>, but decreases the output angles. The angle at which the beam enters the spherical capacitor analyzer, A<sub>OY</sub>, is then decreased again by the retarding ratio according to the equation:

$$[1090] \quad A_{OY} = A_O (RR)^{1/2} / M \quad (8)$$

[1091] where A<sub>OY</sub> is the output angle from the transfer lens, RR is the retard ratio, which is defined as:

$$[1092] \quad RR = (AR) (dV_S/V_S) / (dE_A/E_A) \quad (9)$$

[1093] where dV<sub>S</sub>/V<sub>S</sub> is the normalized energy resolution of the spherical capacitor analyzer and is typically about 3.5%.

[1094] The trace width output of the transfer lens is M W<sub>Y</sub>.

[1095] The geometric and chromatic aberration contributions by the transfer lens are assumed to be negligible.

[1096] Table 3 below shows the characteristics of the transfer lens for 100 V and for 1000 V Auger electrons

TRANSFER LENS				
E <sub>A</sub> (ev)	M	Retard Ratio	A <sub>oy</sub> (rad)	W <sub>oy</sub> (mm)
1000	4.00	21	0.11	7.95
100	4.00	147	0.30	5.68

TABLE 3

### Spherical Capacitor Analyzer

[1097] The resolution of the SCA is determined by its radius R and input half-angle A<sub>Y</sub> as described in equations presented in a paper by H. Z. Sar-El entitled "Criterion for Comparing Analyzers" in *Rev. Sci. Instrum.* Vol. 41, No. 4, 561-564 (1970). The resolution is calculated as:

$$[1098] \quad (dV_S/V_S)_{BW} = W_{slit}/R + A_{SCA}^2 \quad (10)$$

[1099] where  $(dV_S/V_S)_{BW}$  is defined as the base width energy resolution, A<sub>SCA</sub> is the allowed half-angle into the SCA in the Y-direction, and W<sub>slit</sub> is the slit width, where entrance and exit slits (for a single channel) are equal. The full-width half-maximum (FWHM) energy resolution can be estimated as half of the base resolution given in Equation (10), resulting in

$$[1100] \quad (dV_S/V_S)_{FWHM} = W_{slit}/2R + A_{SCA}^2/2 \quad (11)$$

[1101] For example, a preferred 100 mm radius spherical capacitor analyzer has the following characteristics: slit width = 4 mm; slit length = 10 mm; A<sub>SCA</sub> = 0.1 radian; and A<sub>X</sub> = 1.6 radian. Thus Equation (11) gives  $(dV_S/V_S)_{FWHM} = 4/200 + 0.1^2/2 = 2.5\%$ . If an Auger energy resolution of 0.5% is desired, 1 kV Auger electrons can be retarded to 250 V by a retarding ratio of 5. However, since the 1 kV Auger electrons were previously

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accelerated to 3 kV by the primary beam deflection system 30, it is necessary to decelerate the Auger electrons by 15 times between the transfer lens 36 and the spherical capacitor analyzer 140 to obtain 250 V electrons.

[1102] For the spherical capacitor analyzer:

$$[1103] \quad W_A = 2 R A_{SCA}^2 \quad (12)$$

$$[1104] \quad W_{SLIT} = 2 R (dVs/Vs - A_{SCA}^2 / 2) \quad (13)$$

[1105] where equation 13 is obtained by rearranging equation 11.

[1106] Table 4 shows the characteristics of the 100 mm radius spherical capacitor analyzer for 100 V and 1000 V electrons, where the slit width  $W_{slit}$  is chosen to be 6 mm.

SPHERICAL CAP. ANALYZER						
$E_A$	$dVs/Vs$ (%)	$A_{OY}(\text{rad})$	$R$ (mm)	$A_{SCA}(\text{rad})$	$W_a$ (mm)	$W_{slit}$ (mm)
1000	3.50	0.11	100	0.10	2.0	6.0
100	3.50	0.30	100	0.10	2.0	6.0

TABLE 4

[1107] The overall transmission of the components are shown below in Table 5, where

$$[1108] \quad \text{Angle } T = T A_{OY}/A_{SCA} \quad (14)$$

$$[1109] \quad \text{Area } T = W_{OY}/W_{SLIT} \quad (15)$$

$$[1110] \quad \text{Total } T = (\text{Area } T) (\text{Angle } T) \quad (16)$$

[1111] Angle T is the percentage of the solid angle passed by the snorkel lens 80 and associated electrostatic lenses, plus the transfer SCA and transfer lens.

[1112] Area T is the percentage of the magnified and aberrated Auger object 88, which is passed by entrance 150 of spherical capacitor analyzer 140 for analysis.

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TRANSMISSION TOTALS			
$E_A$	Area T (%)	Angle T (%)	Total T (%)
1000	75.5	9.4	7.1
100	100.0	24.9	24.9

TABLE 5

[1113] Using a five channel Auger detector system 12, approximately five times the 7.1% transmission or 35.5% of the Auger electrons are transmitted to the spherical capacitor analyzer.

[1114] It can be shown that the transmission efficiency varies with the Auger energy, a phenomenon referred to as "phase space oscillation." This variation in efficiency can be compensated in several ways, such as by limiting the input angles of the secondary electrons, by normalizing the Auger electron signal, or by a combination of these two. In one embodiment, the angles of secondary and Auger electrons can be limited before they enter the snorkel lens 80 to approximately 0.21 radian, for example, by placing a non-magnetic aperture to the specimen side of the snorkel lens 80. To compensate using normalization, the Auger electron signal can be normalized to the background signal using a function, which is smoothed over large energies, for example, approximately 100 eV.

Comparison of the preferred embodiment with the prior art

[1115] FIG. 10 is a graph showing for a conventional (non-immersion) magnetic objective lens and for a high resolution lens the primary beam diameter versus the beam current for a primary electron beam from a Schottky field emission gun. For FIG. 10, the beam diameter is defined as the radius enclosing 50% of the beam current. The graph assumes a 5 kV beam and a 5 mm working distance. The normal objective lens has a coefficient of spherical aberration ( $C_{Si}$ ) of 50 mm and a coefficient of chromatic aberration ( $C_{Ci}$ ) of 30 mm, whereas

the high resolution lens has a  $C_{Si}$  of 5 mm and a  $C_{Ci}$  of 3 mm. FIG. 10 shows that for a 10 nm diameter beam, the beam current is about 20 times greater using the high resolution lens.

[1116] By providing a secondary electron collection system that is compatible with a high resolution lens, the present invention can perform Auger analysis approximately 20 times faster than a prior art system using the same beam diameter, assuming that the efficiency of transmission of the electrons from the sample to the analyzer is the same in both the cases. As shown above, the present invention provides excellent transmission efficiency. Although reduced transmission efficiency can be compensated by increasing the primary beam current, increased primary beam current can damage the sample 20.

[1117] The input lenses of conventional standard SCA systems typically collect up to a 12 degree half-angle, which corresponds to about 2% input transmission (assuming no losses in the input lenses). Multiple channels in a spherical capacitor analyzer increase the transmission geometrically. For example, a commercially available Auger spectrometer system from Physical Electronics, Inc., Eden Prairie, MN, has effectively about 16 channels in the Auger detector and provides approximately 32% effective transmission, assuming no losses in the input lenses of the Auger spectrometer system. In spherical capacitor analyzer 140 of the inventive system described above, a five channel Auger detector system 12, provides approximately 35.5% transmission in the through-the-lens (TTL) SEM/Auger system for 1 keV Auger electrons.

#### Alternative Embodiment of Secondary Electron Collection System

[1118] FIG. 11 depicts an alternative secondary electron collection system 200 that transfers an even larger percentage of the isotropically emitted Auger electrons to the

analyzer. The embodiment of FIG. 11 employs two transfer spherical capacitors 202 and 204.

As a result, the 1 kV Auger electrons have about twice the total transmission. Secondary electron collection system 200 accepts secondary electrons from an input angle of 0.2 radians, twice the input angle of the single transfer spherical capacitor system of FIG. 3. FIG. 11 identifies rays having angles of 0.1 radians and 0.2 radians emitted from the snorkel and acceleration lenses.

[1119] Specification and performance information for the embodiment of FIG. 11 is described below in tables, analogous to those shown above for the FIG. 3 embodiment. The same parameter names are used to specify properties of the system and so are not explained again.

[1120] The following parameters characterize the system:

[1121]  $B = 0.3 \text{ Rad}$

[1122]  $V_{\text{Accel}} = 2000 \text{ V}$

[1123]  $DE_A/E_A = 0.5\%$

[1124]  $A_{\text{SCA}} = 0.10 \text{ rad}$

[1125]  $dV_S/V_S = 8 \%$

[1126]  $M = 8.00$

[1127]  $n = 5$

	SNOR & ACCELERATION LENSES				
$E_A$	$D_o \text{ (mm)}$	$A_i \text{ (rad)}$	$A_o \text{ (rad)}$	AR	T (%)
1000	1.3	0.6	0.2	3	43.2
100	1	0.6	0.2	21	100

TABLE 6

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[1128]  $A_i$  assumes a 5 kV primary beam and a double crossover condition for the Auger electrons. Although applicants have conservatively estimated  $A_i$  to be 0.6 radians for 100 V Auger electron also,  $A_i$  is likely to be much larger, resulting in greater overall transmission efficiency.

FIRST TRANSFER SPHERICAL CAPACITOR					
$E_A$	R (mm)	$W_a$ (mm)	$W_e$ (mm)	$W_x$ (mm)	$W_y$ (mm)
1000	15	1.20	0.25	2.75	1.74
100	15	1.20	0.04	2.24	1.37

TABLE 7

SECOND TRANSFER SPHERICAL CAPACITOR					
$E_A$	R (mm)	$W_a$ (mm)	$W_e$ (mm)	$W_x$ (mm)	$W_y$ (mm)
1000	50	4.00	0.83	7.58	3.19
100	50	4.00	0.12	6.35	2.61

TABLE 8

TRANSFER LENS				
$E_A$	M	Retard Ratio	$A_{ov}$ (rad)	$W_{ov}$ (mm)
1000	8.00	48	0.17	25.48
100	8.00	336	0.46	20.85

TABLE 9

SPHERICAL CAPACITOR ANALYZER						
$E_A$	dVs/Vs (%)	$A_{ov}$ (rad)	R (mm)	$A_{sca}$ (rad)	$W_a$ (mm)	$W_{slit}$ (mm)
1000	8.00	0.17	100	0.10	2	15.0
100	8.00	0.46	100	0.10	2	15.0

TABLE 10

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TRANSMISSION TOTALS			
E <sub>A</sub>	Area T (%)	Angle T (%)	Total T (%)
1000	58.9	24.8	14.7
100	71.9	21.8	15.7

TABLE 11

Embodiment Using Alternative Objective Lens

[1129] FIG. 12 depicts a cross-sectional view of another embodiment of the present invention. In the depicted alternate embodiment, similar reference numerals from the preferred embodiment of FIG. 3 have been used to identify any identical components.

[1130] A scanning electron microscope (SEM) system 298 includes through-the-lens secondary electron detector system 300 and a primary beam optical system 302. Primary beam optical system 302 comprises a primary beam electron column 304 that includes an objective lens assembly 308.

[1131] Objective lens assembly 308 includes a dual pole magnetic lens 310 that is attached to and supported by the wall of a vacuum chamber 312. Dual pole magnetic lens 310 comprises an upper magnetic pole piece 316, a lower magnetic pole piece 318, and a magnetic field generating coil 320. A sample 20 is generally placed on a moveable sample stage 322 between the upper magnetic pole piece 316 and lower magnetic pole 318. Upper magnetic pole piece 316 has an aperture 324 for passing the primary electron beam and the secondary electrons including the Auger electrons.

[1132] Objective lens assembly 308 further comprises a primary beam electrostatic deflection system 326 supported by the upper magnetic pole piece 316. Primary beam electrostatic deflection system 326 includes electrostatic deflection plates 330, 332, and 334

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mounted on or integrated into the column. Deflector plates 330, 332, and 334 operate on the primary electron beam and the emitted secondary electrons in a manner similar to that describe above with respect to lenses 72, 74, and 76 of FIG. 3. Lower magnetic pole piece 318 can be moved away from upper magnetic pole piece 316 to accommodate a tilted or a thicker sample 20 or can be moved closer to the upper magnetic pole piece 316 for higher SEM and Auger spatial resolution and for higher Auger electron transmission.

[1133] Lower magnetic pole piece 318 includes a lower pole head portion 338 disposed below sample 20 and having a pole diameter smaller than that of an upper pole head portion 340 that is disposed above sample 20. Lower magnetic pole piece 318 is generally positioned closer to the sample than is the upper magnetic pole piece 316. This arrangement provides a monotonically decreasing magnetic field in the upward direction that reduces aberrations. An additional pole piece or a segmented upper pole piece can also be employed to minimize the lens aberrations.

[1134] The lower magnetic pole piece 318 is excited by the water cooled magnetic field generating coil 320 as is well know in the art. The magnetic circuit to the upper pole may be completed using a yoke 344 made of soft magnetic material and extending from a top portion 346 to a bottom portion 348. Only a part of yoke 344 is shown. Yoke 344 can be formed in a variety of shapes including rectangular or half rectangle to accommodate the sample stage 322. Preferably, the parts of yoke 344 not shown form a magnetic circuit to return the magnetic fields between the lower magnetic lens pole 316 and upper magnetic lens pole 318 piece. Alternatively, the vacuum chamber 312 itself may form the magnetic circuit between lower and upper magnetic lens pole pieces 316 and 318.

[1135] Scanning electron microscope system 298 includes transfer spherical capacitor 98 to deflect the Auger electrons from the path of the primary beam. As described previously, a drift tube 110 extends from near the anode 50 through the transfer spherical capacitor 98 to between the spherical deflector 98 and the electrostatic deflection system 326 and allows the primary electron beam to travel undisturbed towards the sample 20. The through-the-lens secondary electron detector system 300 for Auger detection and analysis generally includes previously described components in reference to a preferred embodiment of FIG. 3.

[1136] A relatively strong electrostatic potential may also be used in combination with the dual pole magnetic lens 310 to substantially direct the Auger electrons upward and improve the efficiency of the Auger electron detection by the through-the-lens secondary electron detector system 300. For example, 500 to 10,000 V may be selectively applied on the electrostatic deflection plates 330, 332, and 334 to facilitate transmission of the Auger electrons.

[1137] In operation, the emitted secondary electrons, including the Auger electrons, are directed upwards by the combined magnetic and electrostatic fields of the objective lens assembly 308. Inside the upper magnetic pole piece 316 the electrostatic deflection plates 330, 332, and 334 are employed for scanning, offsetting and stigmating the primary electron beam 16. These electrostatic deflection plates 330, 332, and 334 and an electrostatic shielding cone 350 disposed above thereon can be biased at a suitable positive potential as described above, to accelerate the secondary electrons for travelling upwards and towards the transfer spherical capacitor 98 or other deflector or separator. This acceleration reduces the angle of the emitted secondary electrons and improves transmission of the Auger electrons. The

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transfer spherical capacitor 98 sends the secondary electrons towards the transfer lens 36, which inputs the secondary electrons including the Auger electrons to spherical capacitor analyzer 140 as described in a preferred embodiment of FIG. 3 of the present invention.

[1138] Preferably, tiltable sample stage 322 is sufficiently thin to provide enough clearance between upper magnetic pole piece 316 and lower magnetic pole pieces 318 to allow tiltable sample stage 322 to be tilted through a desired range of angles. One method to do this is to have a ring supporting the sample 20. The tilt mechanism provided in the ring, and the X, Y, Z and rotate stage mechanisms may be positioned to the side of this ring to conveniently manipulate the ring and sample 20. Alternatively, the stage X, Y and R translation mechanisms may be positioned around the ring and the Z and tilt mechanisms disposed on both ends. It is to be understood that other combinations or configurations may be suitably employed for designing the tiltable sample stage 322. The invention is not limited to the configuration shown in FIG. 13. For example, the sample 20 may be perpendicular to the sample stage 322 or tilted. In one embodiment, the sample stage 322 allows the lower magnetic pole piece to be about 1 mm from the lower surface of the sample over the full X-Y dimensions of the sample 20.

[1139] FIG. 13 shows an alternate dual pole lens 350 that does not require a magnetic yoke. For completing the magnetic circuit, a cup-shaped lower magnetic pole piece 354 directs the returning magnetic flux or fields towards the upper magnetic pole piece 316.

[1140] The invention described above is particularly useful when high resolution is required for samples, such as semiconductors, having sensitive surfaces. Modern semiconductor fabrication labs require maximum performance for both SEM imaging and



[1143] Multiple embodiments of different parts of the system are described. These different parts can be combined in various ways for different applications and the combinations are limited to the specific combinations described above. For example, the two spherical capacitor secondary electron collection system of FIG. 11 could be combined with

**[1151]** Although the present invention and its advantages have been described in detail, it should be understood that various changes, substitutions and alterations can be made to the

[1152] We claim as follows:

[1152] We claim as follows: